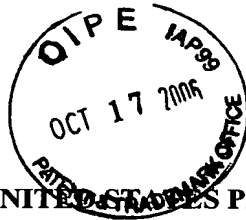


Docket No. 293774US0PCT



MAIL STOP PCT

IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Takatoshi SETO, et al.

SERIAL NO: 10/586,397

GAU:

FILED: July 17, 2006

EXAMINER:

FOR: PHOSPHOR AND INCLUDING THE SAME, LIGHT EMITTING APPARATUS, ILLUMINATING APPARATUS AND IMAGE DISPLAY

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 293774US0PCT		SERIAL NO. 10/586,397	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Takatoshi SETO, et al.			
				FILING DATE July 17, 2006		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	3 544 482	12/01/1970	BARRY			
	AB	5 951 959	09/14/1999	NISHIMURA			
	AC	6 278 135	08/21/2001	SRIVASTAVA et al.			
	AD	6 294 800	09/25/2001	DUGGAL et al.			
	AE	6 466 135	10/15/2002	SRIVASTAVA et al.			
	AF						
	AG						
	AH						
	AI						
	AJ						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AK	02 11214	12/07/2002	WO (with English abstract and equivalent of US 2004 56256)			NO
	AL	2004 2512	01/08/2004	JP (equivalent of US 2003 111643)			NO
	AN	2003 313549	11/06/2003	JP			NO
	AO	2003 261868	09/19/2003	JP			NO
	AP	2004 501512	01/15/2004	JP (equivalent of US 6 621 211 and WO 01 89001)			NO
	AQ	2002 509978	04/02/2002	JP (equivalent of US 6 099 754)			NO
	AR						
	AS						
	AT						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AU	BARRY, EQUILIBRIA AND EU ²⁺ LUMINESCENCE OF SUBSOLIDUS PHASES BOUNDED BY BA ₃ MGSi ₂ O ₈ , SR ₃ MGSi ₂ O ₈ , AND CA ₃ MGSi ₂ O ₈ , J. ELECTROCHEM. SOC.: SOLID STATE SCIENCE, Vol. 115, No. 7, pages 733-739, 1968					
	AV	KIM et al., "WARM-WHITE-LIGHT EMITTING DIODE UTILIZING A SINGLE-PHASE FULL-COLOR BA ₃ MGSi ₂ O ₈ :EU ²⁺ , MN ²⁺ PHOSPHOR", APPLIED PHYSICS LETTERS, Vol. 84, No. 15, pages 2931-2933, 2004					
	AW	BARRY, "LUMINESCENT PROPERTIES OF EU ²⁺ AND EU ²⁺ + MN ²⁺ ACTIVATED BAMG ₂ Si ₂ O ₇ ", J. ELECTROCHEM. SOC.: SOLID STATE SCIENCE, Vol. 117, No. 3, pages 381-385, 1970					
	AX	YAO et al., "A STUDY ON PHOTOLUMINESCENCE OF EU ²⁺ AND MN ²⁺ IN SR ₃ MGSi ₂ O ₈ ", CHEMICAL JOURNAL OF CHINESE UNIVERSITIES, Vol. 18, No. 1, pages 1-5, 1997 (with English Translation)					
	AY	BLASSE et al., "FLUORESCENCE OF EU ²⁺ -ACTIVATED SILICATES", PHILIPS RES. REPTS., Vol. 23, pages 189-200, 1968					
	AZ	KIM et al., "GAN-BASED WHITE-LIGHT-EMITTING DIODES FABRICATED WITH A MIXTURE OF BA ₃ MGSi ₂ O ₈ : EU ²⁺ AND SR ₂ SiO ₄ :EU ²⁺ PHOSPHORS", JAPANESE JOURNAL OF APPLIED PHYSICS, Vol. 43, No. 3, pages 989-992, 2004				<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							



U.S. PCT Application Serial No: 10/586,397

Filed: July 17, 2006

Takatoshi SETO, et al.

Docket No. 293774 US

STATEMENT OF RELEVANCY

- 1) References AK-AQ have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References have been cited in the corresponding Search Report. A copy of these references is being submitted herewith.
- 3) References AU-AV are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References AA-AE and AW-AZ are additional prior art known to Applicant. A copy of these references is being submitted herewith.